

# Differential Adaptation in Azimuth and Elevation to Acute Monaural Spatial Hearing after Training with Visual Feedback

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37 **Abstract**

38 Sound localization in the horizontal plane (azimuth) relies mainly on binaural difference cues  
39 in sound level and arrival time. Blocking one ear will perturb these cues, and may strongly  
40 affect azimuth performance of the listener. However, single-sided deaf listeners, as well as  
41 acutely single-sided plugged normal-hearing subjects, often use a combination of  
42 (ambiguous) monaural head-shadow cues, impoverished binaural level-difference cues, and  
43 (veridical, but limited) pinna- and head-related spectral cues to estimate source azimuth. To  
44 what extent listeners can adjust the relative contributions of these different cues is  
45 unknown, as the mechanisms underlying adaptive processes to acute monauralisation are  
46 still unclear. By providing visual feedback during a brief training session with a high-pass  
47 filtered sound at a fixed sound level, we investigated the ability of listeners to adapt to their  
48 erroneous sound-localization percepts. We show that acutely plugged listeners rapidly  
49 adjusted the relative contributions of perceived sound level, and the spectral and distorted  
50 binaural cues, to improve their localization performance in azimuth also for different sound  
51 levels and locations than those experienced during training. Interestingly, our results also  
52 show that this acute cue-reweighting led to poorer localization performance in elevation,  
53 which was in line with the acoustic-spatial information provided during training. We  
54 conclude that the human auditory system rapidly readjusts the weighting of all relevant  
55 localization cues, to adequately respond to the demands of the current acoustic  
56 environment, even if the adjustments may hamper veridical localization performance in the  
57 real world.

58 **Keywords:** Perceptual Learning; Auditory System; Plasticity; Human; Head Shadow; Spectral  
59 Cues; Plugging; Models

60 **Significance statement:** Plugging one ear in normal-hearing listeners disrupts the robust  
61 binaural difference cues, leading to a dramatic impairment of sound-localization accuracy in  
62 the horizontal plane. We trained plugged listeners to localize sounds in the horizontal plane  
63 through visual feedback about the true sound location. We show that the auditory system  
64 rapidly reweights the different binaural and monaural localization cues to improve  
65 performance in azimuth. Quite unexpectedly, we also found a strong *degradation* of  
66 localization performance in the elevation direction, even on the intact *hearing side*, which

67 resulted from the *training*. We conclude that the auditory system rapidly adapts to current  
68 acoustic situations to optimize localization performance, even if these changes reduce  
69 performance for other acoustic environments, like encountered in daily life.

70

71

## 72 **Introduction**

73

74 Sound localization relies on the processing of acoustic cues that result from the interaction  
75 of sound waves with head, torso, and pinnae. For directions in the horizontal plane  
76 (azimuth), the human brain relies on interaural time differences (ITDs) for frequencies  $\leq 1.5$   
77 kHz, and on interaural level differences (ILDs) for higher frequencies ( $\geq 3$  kHz). The ITDs and  
78 ILDs do not specify the elevation angle (up-down, front-back) of sound sources. The latter  
79 relies on idiosyncratic spectral-shape cues from direction-dependent acoustic reflections  
80 and refraction within the pinna cavities, described by head-related transfer functions  
81 (HRTFs). This broadband spectral mechanism defines a unique monaural elevation cue for  
82 frequencies  $\geq 3$ –4 kHz (review: Blauert, 1997; Van Opstal, 2016).

83 The existence of independent mechanisms to extract the azimuth and elevation  
84 coordinates has some interesting corollaries that are unique to the auditory system. For  
85 example, localization performance in elevation can be heavily perturbed without affecting  
86 azimuth localization, e.g. by inserting binaural pinna molds (Humanski and Butler, 1988;  
87 Hofman et al., 1998; Morimoto, 2001; Hofman and Van Opstal, 2003; Carlile, 2014), by  
88 background noise (Zwiers et al., 2001; Kumpik et al., 2010; Kumpik and King, 2019), or by  
89 varying sound levels, spectra, and sound durations (Butler, 1987; Hofman and Van Opstal,  
90 1998; MacPherson and Middlebrooks, 2000; Vliegen and Van Opstal, 2004; Kacelnik et al.,  
91 2006; Keating et al., 2016).

92 Under monaural hearing conditions, the binaural time- and level-differences are  
93 heavily perturbed or absent, which severely hampers azimuth localization (Oldfield and  
94 Parker, 1986; Moore et al., 1999; Kacelnik et al., 2006; Van Wanrooij and Van Opstal., 2007;  
95 Kumpik et al., 2010; Agterberg et al., 2012; Keating et al., 2013; 2016; Kumpik and King,  
96 2019). Four additional cues could subserve azimuth localization under perturbed binaural  
97 hearing: (i) the level-related head-shadow effect (HSE), (ii) weakened binaural level  
98 differences, (iii) the spectral cues from the hearing ear, and (iv) low-pass filtering by the  
99 head (Oldfield and Parker, 1986; Van Wanrooij and Van Opstal, 2007; Kumpik and King,

100 2019). Note that the monaural head-shadow cue is ambiguous, as a loud sound at the  
101 perturbed side may be perceived just as loud as a soft sound at the hearing side. A similar  
102 ambiguity holds for the head's low-pass filter (Van Wanrooij and Van Opstal, 2004; 2007).  
103 Therefore, the veridical location of the sound source cannot be specified by these monaural  
104 cues alone (Van Opstal, 2016). Yet, in familiar environments, or sounds with known  
105 properties, monaural listeners could use the HSE in combination with these priors to better  
106 estimate their location (Van Wanrooij and Van Opstal, 2007; Carlile, 2014; Van Opstal, 2016;  
107 Kumpik and King, 2019).

108         Although a monaural plug attenuates high frequencies by 30-50 dB, low-frequency  
109 ITDs may pass unobstructed, while for loud sounds, some binaural level differences may  
110 survive, albeit biased towards the hearing ear. Indeed, individuals with severe conductive  
111 hearing loss still utilize weak binaural level differences to localize azimuth (Agterberg et al.,  
112 2012). Clearly, this potential cue is not available for single-sided deaf listeners (Van  
113 Wanrooij and Van Opstal, 2004).

114         Under monaural hearing, pinna cues from the hearing ear may contribute to localize  
115 azimuth (Van Wanrooij and Van Opstal, 2004, 2007). Indeed, the auditory system of ferrets  
116 and humans can compensate for monaural occlusion by using spectral cues from the good  
117 ear to perceived azimuth (Van Wanrooij and Van Opstal, 2007; Keating et al., 2016; see  
118 Kumpik and King, 2019, for review]. Studies on listeners with severe conductive hearing loss,  
119 single-sided deafness, and normal-hearing but acutely plugged listeners support this idea,  
120 but reported considerable idiosyncratic variability as to how much these listeners used  
121 spectral cues for azimuth localization (Agterberg et al., 2012; Van Wanrooij and Van Opstal,  
122 2004, 2007).

123         Training with feedback may further enhance and speed-up sound-localization  
124 performance under perturbed hearing. For example, monaurally plugged listeners improve  
125 spatial hearing in azimuth through audiovisual training (Shinn-Cunningham et al., 1998;  
126 Strelnikov et al., 2011; Mendonca et al., 2013; Mendonca, 2014). The auditory system can  
127 also reweight acoustic spectral contributions to localize elevation when repeatedly exposed  
128 to sounds with only weak spectral cues (Zonooz et al., 2019).

129            Here, we assessed localization performance in azimuth and elevation of normal-  
130 hearing listeners after acute monaural plugging. We studied the effect of repeated exposure  
131 to a single high-pass filtered sound of fixed intensity at a limited number of locations in the  
132 horizontal plane, by providing visual feedback. We assessed whether listeners learned to  
133 remap the different acoustic cues to improve localization performance, and whether they  
134 generalized their learned behavior to other sounds presented across the two-dimensional  
135 frontal hemifield.

136

## 137 **Materials and Methods**

### 138 **Participants**

139 Eight binaural listeners (S1, S3-S8: ages 23-27, and S2: age 61; 4 females) participated in the  
140 free-field sound-localization experiments. All, except for S7, were naive regarding the  
141 purpose of the study. The inexperienced subjects were given a brief practice session to get  
142 acquainted with the setup and localization paradigms, and to gain stable localization  
143 performance to standard broadband Gaussian white-noise stimuli. Subjects S1, and S3-S8  
144 had normal hearing (within 20 dB HL) in both ears, as assessed with a standard audiometric  
145 test from 0.25 kHz up to 8 kHz. Subject S2 (female) had binaural high-frequency hearing loss  
146 of 25-30 dB at 6 kHz, and 40-50 dB at 8 kHz. Consequently, the elevation responses of S2  
147 deviated substantially from the other subjects (see Results).

148

### 149 **Ethics statement**

150 Human subjects were recruited at the Radboud University. The experiments fully adhered to  
151 the protocols regarding observational experiments on healthy human adults, and were  
152 approved by the local institutional ethical committee of the Faculty of Social Sciences at the  
153 Radboud University (ECSW 2016-2208-41). All participants signed an informed consent  
154 form, prior to the start of the experimental sessions.

155

156

157 **Experimental setup**

158 During the experiments, subjects sat comfortably in a chair in the center of a completely  
159 dark, sound-attenuated room (length x width x height: 3.6x3x3 m). The walls of the room  
160 were covered with black foam that prevented echoes for frequencies exceeding 500 Hz. The  
161 background noise level in the room was about 30 dB SPL. Target locations and head-  
162 movement responses were transformed to double-polar coordinates (Knudsen and Konishi,  
163 1979). In this system, azimuth,  $\alpha$ , is defined as the angle between the sound source or  
164 response location, the center of the head, and the midsagittal plane, and elevation,  $\epsilon$ , is  
165 defined as the angle between the sound source, the center of the head, and the horizontal  
166 plane. The origin of the coordinate system corresponds to the straight-ahead speaker  
167 location. Head movements were recorded with the magnetic search-coil induction  
168 technique (Robinson, 1963). To that end, the participant wore a lightweight (150 g)  
169 “helmet” consisting of two perpendicular 4 cm wide straps that could be adjusted to fit  
170 around the participant’s head without interfering with the ears. On top of this helmet, a  
171 small coil was attached. From the left side of the helmet, a 40 cm long, thin, aluminum rod  
172 protruded forward with a dim (0.15 Cd/m<sup>2</sup>) red LED attached to its end, which could be  
173 positioned in front of the listener’s eyes, and served as an eye-fixed head pointer for the  
174 perceived sound locations. Two orthogonal pairs of 3 x 3 m coils were attached to the edges  
175 of the room to generate the horizontal (60 kHz) and vertical (80 kHz) magnetic fields. The  
176 head-coil signals were amplified and demodulated (Remmel Labs, Ashland, MA), after being  
177 low-pass filtered at 150 Hz (custom-built 4<sup>th</sup> order Butterworth filter) before being stored on  
178 hard disk at a sampling rate of 500 Hz per channel for off-line analysis.

179

180

**FIGURE 1 ABOUT HERE**

181 **Auditory stimuli**

182 Acoustic stimuli were digitally generated using Tucker-Davis Technologies (TDT) (Alachua,  
183 FL) System III hardware, with a TDT DA1 16-bit digital-to-analog converter (48.828,125 Hz  
184 sampling rate). A TDT PA4 programmable attenuator controlled sound level, after which the  
185 stimuli were passed to the TDT HB6 buffer and finally to one of the speakers in the  
186 experimental room. All acoustic stimuli were derived from a standard Gaussian white noise  
187 stimulus, which had 5 ms sine-squared onset and offset ramps. This broadband GWN

188 control stimulus had a flat amplitude characteristic within 2 dB (uncorrected) between 0.2  
189 and 20 kHz (e.g., Zonooz et al., 2019), and a duration of 150 ms.

190 The three types of stimuli were presented during the control experiments on the  
191 first day. Broadband (BB), Low-pass (LP) and High-pass (HP) contained the frequencies from  
192 0.2 to 20 kHz, all frequencies up to 3.0 kHz and the frequencies above 3.0 kHz, respectively.  
193 On the second day of the experiment, which included the adaptation session, only the HP  
194 stimuli were chosen, as by focusing on the HP stimuli we excluded the ITD contribution to  
195 azimuth sound localization (Fig. 1). Absolute free-field sound levels were measured at the  
196 position of the listener's head with a calibrated sound amplifier and microphone (Brüel and  
197 Kjaer, Norcross, GA).

198

### 199 **Experimental paradigms**

200 **Calibration.** Each experimental session started with a calibration experiment to establish  
201 the mapping parameters of the coil signals to known target locations. Head-position data  
202 for the calibration procedure were obtained by instructing the listener to make an accurate  
203 head movement while redirecting the dim LED in front of the eyes from the central fixation  
204 LED to each of 58 peripheral LEDs, which were illuminated as soon as the fixation point  
205 extinguished. The 58 fixation points and raw head-position signals thus obtained were used  
206 to train two three-layer neural networks (one for azimuth, one for elevation) that served to  
207 calibrate the head-position data, using the Bayesian regularization implementation of the  
208 back-propagation algorithm (MatLab; version 15, Neural Networks Toolbox) to avoid  
209 overfitting (Pedregosa et al., 2011).

210 In each sound-localization experiment, the listener started a trial by fixating the central LED  
211 (azimuth and elevation both at zero deg; Fig. 2). After a pseudo-random period between  
212 1.5–2.0 s, this LED was extinguished, and an auditory stimulus was presented 400 ms later.  
213 The listener was asked to redirect the head by pointing the dim LED at the end of the  
214 aluminum rod to the perceived location of the sound stimulus, as fast and as accurately as  
215 possible.

216 **Plugging.** To heavily perturb the acoustic input to the right ear, we followed the procedures  
217 described in Van Opstal and Van Wanrooij (2007). Plugs were made by filling the ear canal

218 with a rubber casting material (Otoform Otoplastik-K/c; Dreve, Unna, Germany). Earlier  
219 measurements in our lab indicated that the precisely fitting plug attenuated high-frequency  
220 sounds (>2 kHz) by at least 25 dB. Low frequencies (up to about 1.5 kHz) were attenuated by  
221 about 20 dB. To ensure further monaural attenuation, and to eliminate any potential  
222 spectral cues from the plugged ear, an additional headphone muff was positioned over the  
223 plugged ear (Agterberg et al., 2012). Note that although the plug-and-muff hearing  
224 condition perturbed the binaural level cues substantially, there could still be some remnant  
225 binaural hearing for low frequencies (based on ITD processing), and even some highly  
226 perturbed interaural level differences for (part of the) high frequencies, especially for the  
227 loudest sound levels (60 and 70 dBA).

228 **Control session.** The sound-localization experiments were divided into the two  
229 experimental days. The subjects performed the localization control experiment on the first  
230 day. This experiment contained 300 trials with broadband, low-pass and high-pass stimuli,  
231 and were presented at randomly selected locations that ranged from [-80, +80] deg in  
232 azimuth, and from [-40, +50] deg in elevation (see Fig. 2). The presented stimuli varied in  
233 intensity; sound levels of HP stimuli varied between 45 dB and 70 dB SPL (A-weighted) in 5  
234 dB increments, sound levels of LP stimuli as well as BB stimuli were either 50 dBA or 65 dBA  
235 (HP: 6 different sound levels, 30 locations, in total: 180 trials, and HP, BB each 2 different  
236 sound levels, 30 locations, in total 120 trials). The control experiment served to establish the  
237 subject's pre-adaptation localization abilities, and to verify the effect of sound level on the  
238 monaural listeners' localization performance, prior to the adaptation experiment. That is,  
239 we chose the sound level for which they had developed no prior knowledge (monauralized  
240 subjects were unable to localize it accurately). The subjects participated twice in the control  
241 experiment, unplugged and plugged. The results were used to verify whether they were  
242 indeed normal-hearing and that the plug had a detrimental effect on their localization  
243 performance. The pre-adaptation, training, and post-adaptation experiments were  
244 performed on a second recording day.

245 **FIGURE 2 ABOUT HERE**

246

247 **Training.** In the training experiment, subjects localized the HP stimuli of 60 dBA, presented  
248 at 10 fixed locations in the azimuth plane (+60, +48, +36, +24, +12, -12, -24, -36, -48, -60  
249 deg), at an elevation of zero deg. After the sound was presented, and the subject had made  
250 the localization response, a green LED in the center of the speaker was illuminated for a  
251 duration of 1500 ms. The subject was required to make a subsequent head-orienting  
252 response to the location of the LED; this procedure ensured that the subject had access to  
253 error signals related to programming a corrective response, immediately after the initial  
254 sound-localization estimate. The training experiment consisted of 500 trials in which every  
255 location was presented 50 times in pseudo-random order.

256

257 **Test sessions.** The pre- and post-adaptation test experiments contained the same 180 trials,  
258 with three types of stimuli: HP50, HP60, and HP70 sounds. Stimuli were presented at  
259 pseudo-randomly selected locations in the 2D frontal hemifield, ranging from [-60, +60] deg  
260 in azimuth, and from [-40, +50] deg in elevation (Fig. 2, dark-grey). Note that the test set of  
261 stimuli did not include the ten sound locations used during the training. Listeners performed  
262 the post-adaptation experiment twice, once with one ear plugged, and once unplugged  
263 (both ears free).

264

## 265 **Data Analysis**

266 A custom-written MatLab script automatically detected head saccades in the calibrated data  
267 by using a preset velocity criterion ( $15^\circ/\text{s}$ ) for saccade onset and offset. Detected saccades  
268 were visually inspected for errors, and manually corrected if necessary, without having  
269 access to stimulus information. We analyzed the responses for each participant, separately  
270 for the different stimulus types, by determining the optimal linear fits for the stimulus–  
271 response relationships for the azimuth and elevation components:

$$272 \quad R_\alpha = a + b \cdot T_\alpha \quad \text{and} \quad R_\varepsilon = c + d \cdot T_\varepsilon \quad (1)$$

273 by minimizing the least-squares error, using the Scikit-learn library (Pedregosa et al., 2011).  
274  $R_\alpha$  and  $R_\varepsilon$  are the azimuth and elevation response components, and  $T_\alpha$  and  $T_\varepsilon$  are the  
275 azimuth and elevation coordinates of the target. Fit parameters,  $a$  and  $c$ , are the response  
276 biases (offsets; in deg), whereas  $b$  and  $d$  are the response gains (slopes, dimensionless) for

277 the azimuth and elevation response components, respectively. Note that an ideal localizer  
 278 should yield gains of 1.0, and offsets of 0.0 deg. We also calculated Pearson's linear  
 279 correlation coefficient,  $r$ , the coefficient of determination,  $r^2$ , the mean absolute residual  
 280 error (standard deviation around the fitted line), and the mean absolute localization error  
 281 for each fit.

282 To determine to what extent the acute monaural listener makes use of the ambiguous head  
 283 shadow effect (HSE) and/or the true source location (presumably through distorted weak  
 284 binaural cues, or spectral cues, see Introduction) to localize sound sources, we also analyzed  
 285 our data through multiple linear regression. To that end, we evaluated the relative,  
 286 normalized contributions of sound level and stimulus azimuth to the subject's azimuth  
 287 localization response in the following way:

$$288 \quad \hat{R}_\alpha = p \cdot \hat{I}_{prox} + q \cdot \hat{T}_\alpha \quad \text{where} \quad \hat{z} \equiv \frac{z - \mu_z}{\sigma_z} \quad (2)$$

289 Here,  $\hat{R}_\alpha$ ,  $\hat{I}_{prox}$ , and  $\hat{T}_\alpha$  are the dimensionless z-scores for the response, proximal sound  
 290 level, and target values, respectively, with  $\mu_z$  the mean, and  $\sigma_z$  the standard deviation of  
 291 variable  $z$ . In this way, the contributions of sound level and sound location can be directly  
 292 compared, although they are expressed in different units, and may cover very different  
 293 numerical ranges. The dimensionless partial correlation coefficients,  $p$  and  $q$ , quantify the  
 294 relative contributions of sound level and target azimuth, respectively, to the measured  
 295 response. A perfect localizer would yield  $p = 0$  and  $q = 1$ , indicating that the localization  
 296 response is not affected by variations in perceived sound level, and fully determined by  
 297 changes in source location. On the other hand, if  $p = 1$  and  $q = 0$  the responses are entirely  
 298 determined by the head-shadow effect.

299 The proximal sound level,  $\hat{I}_{prox}$ , was calculated as the perceived intensity at the free ear, by  
 300 using the following approximation:

$$301 \quad \hat{I}_{prox}(T_\alpha) = I_{snd} + HSE \cdot \sin\left(\frac{\pi \cdot T_\alpha}{180}\right) \text{ dB} \quad (3)$$

302 Here,  $I_{snd}$  is the actual free-field sound level (in dBA) at the position of the head, and the sine  
303 function approximates the head-shadow effect and ear-canal amplification for a broad-band  
304 sound (we took HSE = 10 dB, following Van Wanrooij and Van Opstal, 2004).

305 For the elevation responses, we extended the multiple regression analysis in the following  
306 way:

$$307 \quad \hat{R}_\varepsilon = p \cdot \hat{I}_{prox} + q \cdot \hat{T}_\alpha + s \cdot \hat{T}_\varepsilon \quad (4)$$

308 Here, the elevation response was considered to potentially depend on proximal sound level,  
309 the true target's azimuth location, and the true target's elevation angle. For an ideal  
310 localizer, the partial correlations should yield  $[p,q,s]=[0,0,1]$ .

311

## 312 **Results**

### 313 **Azimuth responses.**

314 **Normal hearing.** All listeners (N=8) were first subjected to two control sound-localization  
315 experiments, in which they responded with rapid goal-directed head-orienting movements  
316 to ten different sound stimuli presented across the frontal hemifield. The normal-hearing  
317 localization results in azimuth for participant S3 to these stimuli are shown in Fig. 3.  
318 Localization performance for all ten stimulus types (low-pass, LP; high-pass, HP, and  
319 broadband, BB noise bursts) in the azimuth plane were near-optimal, irrespective of sound  
320 level (45 to 70 dB SPL A-weighted): they exhibited high accuracy, as response gains (Eqn. 1)  
321 were close to one, and biases close to zero degrees, with little variability, as evidenced by  
322 high  $r^2$  values for the linear fits ( $>0.95$ ).

323

324

**FIGURE 3 ABOUT HERE**

325 **Control (plugged).** When the binaural cues were corrupted after right-ear plugging, S3 was  
326 no longer able to localize the stimuli in the horizontal plane (Fig. 4). Although the response  
327 gains for the LP sounds remained relatively high (about 0.7), the response variability was  
328 considerably higher than for normal-hearing ( $r^2 < 0.4$ ). The strongest effects of the plug were

329 obtained for the HP and BB sounds. Responses to these stimuli had a strong leftward  
330 (negative) bias towards the hearing ear (typically exceeding -40 deg), very low response  
331 gains (between 0.1 and 0.3), and considerable variability (low correlations). Yet, the  
332 response gains for each stimulus were not zero, suggesting that the listener still had some  
333 percept of changes in azimuth, possibly due to a combination of monaural spectral cues and  
334 highly attenuated binaural level differences.

335 **FIGURE 4 ABOUT HERE**

336 **Pre-training (plugged).** In the pre-training experiment on the second recording day, we first  
337 measured the localization performance for three high-pass filtered stimuli at different levels  
338 (HP50, HP60, and HP70), presented across the two-dimensional frontal hemifield. Results  
339 for the stimulus-response relationships of the azimuth components for representative  
340 listener S3, with the right ear plugged, are shown in Fig. 5. The regression data indicate the  
341 low precision and accuracy with which this listener responded to these sounds (low gain,  
342 large leftward bias, and large variability, when compared to the unplugged condition; cf. Fig.  
343 3). Note that the HP60 and HP70 stimuli yielded larger response biases (> 45 deg, and > 41  
344 deg, respectively) than the low-intensity HP50 sound (-36 deg), although the relation  
345 between bias and sound level was not monotonic.

346 **FIGURE 5 ABOUT HERE**

347 **Training (plugged).** To investigate whether explicit error feedback could improve the  
348 localization accuracy in azimuth, subjects performed a training session of about 400 trials, in  
349 which they responded with a head-orienting saccade to one of ten selected HP60 stimulus  
350 locations in the azimuth plane. About 1.5-2.5 seconds later, the sound was followed by  
351 presentation of a green LED at the center of the speaker, and the subject had to make a  
352 corrective head movement towards the LED, immediately after the sound-localization  
353 response. Figure 6 shows some representative sound-evoked response data from S3 for  
354 three 50-trial epochs during this session: at the start of the training (trials 1-51), after the  
355 initial phase of the training (trials 101-151), and towards the end of the training (trials 351-  
356 401). Comparing the three epochs, it can be noted that response accuracy and precision  
357 both improved as training progressed: the response gain systematically increased from  $b =$

358 0.6 to  $b = 1.0$ , while at the same time the leftward bias decreased from  $a = -30.2$  to  $a = -13.8$   
359 deg, respectively. Response precision improved as well, as evidenced by the increase in  $r^2$ .

360 **FIGURE 6 ABOUT HERE**

361 To illustrate the learning patterns for all participants during the entire training session, we  
362 performed a windowed regression analysis on the data of each listener, and averaged the  
363 results across participants. The results (mean: solid line; standard deviation: light shading)  
364 are shown in Fig. 7. The azimuth response gain (Fig. 7A), and localization precision ( $r^2$ ) (Fig.  
365 7B) gradually increased with trial number, while the head-saccade reaction times (Fig. 7C)  
366 and the mean absolute error across trials (Fig. 7D) systematically decreased. The co-  
367 variation of response variability with reaction time suggests that the auditory system  
368 becomes faster, as its confidence about perceived source locations increases.

369 **FIGURE 7 ABOUT HERE**

370 **Post-training (plugged).** During training, listeners had been exposed to a single stimulus  
371 type (HP60) with the right ear plugged. Sounds were presented from a limited number of  
372 only ten different locations, exclusively confined to the azimuth plane at zero elevation.  
373 Rather than true spectral-spatial learning, subjects could in principle have improved their  
374 response behavior merely by categorizing or memorizing the fixed locations on the basis of  
375 subtle acoustic peculiarities that might emanate from the speakers. If so, the improved  
376 response behavior would have persisted only for the particular trained stimulus conditions  
377 (HP60 and ten speaker locations), and would neither generalize across the two-dimensional  
378 frontal hemifield, nor to other sounds.

379 **FIGURE 8 ABOUT HERE**

380 To establish whether training had indeed resulted in improved sound-localization  
381 performance across the frontal hemifield, as well as for different sound levels, we re-tested  
382 the subjects after the training phase with the same three stimulus types and source  
383 locations as in the pre-adaptation session. The regression analyses (Eqn. 1) for the head-  
384 orienting responses of listener S3 for these three stimuli are shown in Fig. 8. The results  
385 indicate a clear improvement in localization performance, when compared with Fig. 5. The

386 response accuracy and precision for the HP50 stimuli had increased from  $b = 0.5$  and  $r^2 =$   
387  $0.69$  for the pre-adaptation phase, to post- adaptation values of  $b = 1.0$  and  $r^2 = 0.81$ ,  
388 respectively. In addition, the response bias decreased substantially, from  $-36.0$  deg to  $-7.6$   
389 deg. Thus, response adaptation was not confined to the ten trained target locations on the  
390 azimuth plane, but generalized across the two-dimensional frontal space.

391 When the listener was retested to these sounds after the plug was removed, localization  
392 performance was again indistinguishable from the normal-hearing control condition shown  
393 in Fig. 3 (not shown, but see Fig. 10A), indicating that there was no after effect of the plug or  
394 the training.

395 Figure 9 summarizes the overall results for the pre- and post-adaptation tests for the HP50  
396 (left-hand column), HP60 (center) and HP70 (right) stimuli for all listeners, together with the  
397 means and standard error of the means for the different regression parameters of Eqn. 1  
398 (from top to bottom: response gain, absolute bias (in deg),  $r^2$ , and mean absolute error  
399 (MAE, in deg)). If the training had not led to improved localization performance, data points  
400 should have scattered evenly along the main diagonal, and the bars for the pre- and post-  
401 data would have been identical. The far majority of gain and  $r^2$  values lie above the diagonal,  
402 whereas the MAE and absolute biases lie below the diagonal. These changes in the  
403 regression parameters show a generalized improvement of localization performance for all  
404 three stimulus types and source locations.

405 **FIGURE 9 ABOUT HERE**

406 Table 1 summarizes the significance levels of a one-sided sign test on the regression  
407 parameters (across stimuli:  $n=24$  values), and across stimulus types ( $n=32$  values).

408 **TABLE 1 ABOUT HERE**

409 **Multiple linear regression.** Multiple regression on the pre- and post-adaptation data  
410 according to Eqn. 2 assessed to what extent subjects made use of the HSE (indicated by the  
411 partial correlation coefficient for  $I_{prox}$ ) and the true azimuth location, which could result  
412 from the use of monaural spectral cues, or from adjusted binaural level differences (Shinn-

413 Cunningham et al., 1998; Van Wanrooij and Van Opstal, 2007; Strelnikov et al., 2011). Figure  
414 10 shows the results of this analysis. The pre-adaptation plugged data for the HP50, HP60  
415 and HP70 sounds were pooled with the plugged control data, as it contained more sound  
416 levels (see Fig. 4). For comparison, we also show the results from the normal-hearing  
417 control experiment (blue squares; cf. Fig. 3) and the after-effect test (green dots). Note that  
418 these latter hearing conditions yielded responses that were fully explained by target  
419 azimuth, and not at all by variations in sound level: the partial correlation coefficients for  
420 proximal sound level were indistinguishable from zero, and the azimuth partial correlation  
421 coefficients were close to 1.0.

422

### FIGURE 10 ABOUT HERE

423 For the pre- and post-adaptation plugged conditions, however, both partial correlation  
424 coefficients deviated substantially from the optimal normal-hearing binaural values. For the  
425 pre-adaptation data (red dots) the azimuth coefficients ranged between 0.4-0.8 (mean  $\pm$   
426 std:  $0.63 \pm 0.14$ ), while sound-level coefficients ranged from -0.1 to about -0.7 ( $-0.25 \pm 0.20$ ).  
427 The negative values for this coefficient indicate that the louder the sound, the more  
428 leftward the azimuth response (also reflected in the large negative biases seen in Figs. 4 and  
429 5). Interestingly, in the post-adaptation data (black diamonds) both coefficients had  
430 increased (azimuth:  $0.85 \pm 0.09$ , sound level:  $-0.42 \pm 0.24$ ). In other words, listeners made  
431 stronger use of the HSE, as well as of the spectral cues from the hearing ear, and/or  
432 distorted binaural level differences. This conclusion is further supported by Figs. 10B and C,  
433 in which the results can be seen to deviate systematically from the main diagonal for  
434 virtually all listeners.

### 435 **Elevation responses.**

436 ***Stimulus-response relation.*** As the extraction of source elevation relies on the pinna-related  
437 spectral cues, and training may in principle have changed the interpretation of these cues  
438 for source localization, it is of interest to test whether the training also had an effect on the  
439 elevation response components. In Fig. 11, we first compared the pre- and post- localization  
440 data from a representative subject (S4) on the basis of the linear stimulus-response  
441 regression analysis of Eqn. 1. Fig. 11A shows that listener S4 could localize the sounds well  
442 under normal hearing (apart from a few up-down reversals for downward targets,

443 presumably due to knee-reflections), with a response gain ( $d = 0.9$ ) close to the optimal  
444 value of 1.0, and a bias of only  $c=+5$  deg. The variability was larger than for the control  
445 azimuth responses, but still limited, as  $r^2=0.86$ . The plug, however, had a strong detrimental  
446 effect on the elevation percept (Fig. 11B), as the pre-training data became highly variable  
447 ( $r^2=0.32$ ), with strongly reduced accuracy: the gain decreased to  $d=0.43$  (bias:  $c=-0.4$  deg).  
448 Interestingly, however, training seemed to induce an even further deterioration of elevation  
449 performance, rather than an improvement. After training, the post-plug results showed a  
450 much lower gain for the elevation percept ( $b=0.05$ ; Fig. 11C), the bias changed to  $c=-17.8$   
451 deg, and the predictability had decreased, to  $r^2 = 0.03$ . The results of the other listeners  
452 were qualitatively similar.

453  
454 **FIGURE 11 ABOUT HERE**

455  
456 The decrease in elevation performance after training could in principle be due to the effects  
457 of the training on the azimuth percept (see above). For example, if training would move the  
458 azimuth percept further into the extreme left of the response range, the elevation gain,  
459 which is modulated by perceived azimuth, might become very low as a consequence. To test  
460 for this possibility, we performed two different analyses on the data: (i) one in which we  
461 quantified the local elevation gain as function of source azimuth, and (ii) a multiple  
462 regression analysis, in which we incorporated other potential factors to the elevation  
463 percept than the target's elevation angle, like source azimuth, and perceived intensity at the  
464 hearing ear.

465 In Fig. 12 we show how the mean local elevation gains across participants varied as  
466 function of source azimuth for the four different hearing conditions. Under normal binaural  
467 hearing (black and green), the elevation gain did not vary systematically as function of  
468 azimuth, and was high throughout the target range. With the plug inserted, the acute data  
469 (red dots) reveal the typical binaural integration effect of the elevation percept (Morimoto,  
470 2001; Hofman and Van Opstal, 2003; Van Wanrooij and Van Opstal, 2005): the gain was  
471 near-normal for targets presented on the far-left hearing side, but gradually dropped to  
472 nearly zero on the far-right plugged side. Note that targets on the midsagittal plane (at  
473 azimuth zero), had their elevation response gain at only 50% of the normal binaural gain  
474 (around 0.4, on average). Interestingly, however, and in line with Fig. 11C, the mean

475 elevation gains had dropped considerably on the hearing side after the training (blue dots).  
476 Although the binaural azimuth-dependent integration effect (seen in the gradual slope from  
477 left to right) was still present, it had markedly decreased when compared to the pre-  
478 adaptation data.

479  
480

**FIGURE 12 ABOUT HERE**

481 **Multiple regression.** Figure 13 shows the results of the extended multiple regression  
482 analysis (Eqn. 4) for all subjects. In Fig. 13A it can be seen (blue symbols) that the control  
483 data for 7/8 listeners (exception: S2) were close to the ideal values of  $s=1$  and  $p=0$  ( $q$  was  
484 close to zero too, not shown). In the pre-plugged localization tests (control HP data and HP  
485 test data pooled; red dots) the elevation responses had a significant contribution of the true  
486 target elevation (mean:  $s=0.49$ ), and a low (near-zero) contribution of the proximal sound  
487 level ( $p=0.11$ ; except S2 for whom  $s$  remained close to zero for both epochs; Fig. 13D).  
488 Interestingly, the post-adaptation data (black diamonds) showed a reduction in these  
489 parameters: the contribution of target elevation dropped to a mean of  $s=0.38$  (Fig. 13D),  
490 while the influence of the proximal sound level stayed the same (mean 0.08; Fig. 13B). Yet,  
491 also the contribution of target azimuth did not change significantly across subjects (pre-  
492 mean:  $q=-0.14$ ; post-mean of  $q=-0.13$ ; Fig. 13C). Note, however, that the multiple regression  
493 was performed over the full two-dimensional frontal hemifield, and that because of the  
494 influence of the plug, elevation results could have differed for the hearing side vs. the  
495 plugged side (cf. Fig. 12).

496

**FIGURE 13 ABOUT HERE**

497 To illustrate this point for a representative listener (S6), Fig. 14 shows the prediction for the  
498 elevation responses on the basis of Eqn. 4 vs. the measured responses for the normal-  
499 hearing control data (Fig. 14A), the pre-training plugged data (Fig. 14B), and the post-  
500 adaptation plugged data (Fig. 14C), expressed in normalized z-scores (see Eqn. 2). We now  
501 separated the data for stimuli presented on the hearing side (left; blue symbols) and  
502 plugged side (right; red symbols). For the normal-hearing condition, the elevation responses  
503 were equally accurate for the left- and right-side targets, as the correlation for the multiple

504 regression model was high ( $p=+0.05$ ,  $q=-0.01$ ,  $s=0.96$ , and  $r^2=0.92$ ), and the blue and red dot  
505 distributions fully overlapped.

506 **FIGURE 14 ABOUT HERE**

507 The elevation responses in the pre-training plugged condition (Fig. 14B) were much less  
508 precise on both sides (regression on all data:  $p=0.25$ ,  $q=-0.34$ ,  $s=+0.5$ , and  $r^2=0.38$ ), but did  
509 not differ for the left and right hemifields. However, the elevation responses under plugged  
510 hearing after the training (Fig. 14C) differed from the pre-adaptation responses: now the  
511 elevation responses divided in two separable clusters, in which targets presented on the  
512 plugged side (red) were typically heard at a downward elevation, whereas the leftward  
513 targets (blue) were typically heard above the horizon.

514 To check whether the parameter changes of elevation were confined solely to one  
515 hemifield, or perhaps to both, we performed the multiple linear regression of Eqn. 4  
516 separately for the left and right hearing sides. The summary of the results for the four  
517 hearing conditions for all listeners is shown in Fig. 15. In the two free-hearing conditions  
518 (before (blue dots) and after (red dots) the plugged adaptation session), the elevation  
519 coefficients remained close to one and did not differ systematically for the left and right  
520 hemifields. This indicates that the training did not yield an aftereffect. In the plugged  
521 localization session prior to the training (in which control stimuli and HP test stimuli were  
522 pooled), the elevation coefficients were typically larger on the hearing side than on the  
523 plugged side for 6/8 subjects (blue squares, below the dashed diagonal). However, after the  
524 training, the elevation coefficients dropped substantially, and similarly, for both sides in 7/8  
525 subjects. Thus, after training, listeners had decreased their reliance on spectral cues for  
526 localization in the elevation direction, even on their normal-hearing side (red squares).

527 **FIGURE 15 ABOUT HERE**

## 528 **Discussion**

529 **Major findings.** Our experiments demonstrate short-term adaptation of sound-localization  
530 performance for all subjects in response to a monaural plug and a short training session  
531 with explicit visuomotor feedback, for a fixed-intensity high-pass sound-source, presented

532 at a limited number of locations in the horizontal plane. We showed that the adaptation  
533 generalized to target locations across the two-dimensional frontal hemifield, and to sounds  
534 with different intensities, indicating that the adaptation involved a remapping of available  
535 acoustic cues, rather than a mere cognitive trick imposed by the particular set of trained  
536 stimuli. The plug and training session did not invoke an aftereffect in the azimuth responses  
537 (Fig. 8A), or in the elevation data (Fig. 13A).

538 Interestingly, although the plug perturbed the binaural intensity differences required  
539 for azimuth localization of higher sound frequencies, the adaptation affected not only the  
540 azimuth response components, but also the elevation components. Azimuth responses  
541 became more accurate and precise after the training (Fig. 9, Table 1) but, quite surprisingly,  
542 accuracy and precision of the elevation response components deteriorated (Fig. 11), even  
543 on the unaffected free-hearing side (Figs. 12 and 15).

544 ***Ill-posed problems.*** To our knowledge, such differential effects of short-term training on  
545 sound-localization performance in the azimuth and elevation directions have not been  
546 reported before (but see also below). It indicates that the human sound-localization system  
547 is highly plastic, and continuously evaluates the current acoustic evidence against its  
548 internal representations. This fits well with the notion that to localize a sound, the human  
549 auditory system is in fact faced with a fundamental ill-posed problem (Middlebrooks and  
550 Green, 1990; Hofman and Van Opstal, 1998; Van Opstal, 2016): first, the ITD and ILD cues  
551 alone cannot uniquely encode sound-source direction, as all points on the so-called ‘cone of  
552 confusion’ yield identical ILD and ITD values (Blauert, 1997). Second, to disambiguate the  
553 cone of confusion, the system needs to estimate the source-elevation angle from the  
554 spectral pinna cues. However, because the sensory spectrum at the eardrum is always a  
555 convolution of the actual source spectrum and the direction-specific HRTF, both of which  
556 are a-priori unknown to the system, the extraction of elevation is ill-posed, even for a single  
557 source: infinitely many combinations of sound spectra and pinna filters (i.e., elevation  
558 angles) can generate the same sensory spectrum (Hofman and Van Opstal, 1998; Van  
559 Opstal, 2016). Third, the system should decide whether the acoustic input arose from a  
560 single source, or from multiple sources, which again poses an ill-posed problem that lacks a

561 unique solution. Thus, on the basis of the acoustics alone, the auditory system cannot  
562 localize a sound source with certainty.

563 To deal with this problem, the brain has to rely on additional (non-acoustic) sources  
564 of information, like visual input, priors regarding potential source locations, on the number  
565 of sources in the environment, and implicit assumptions about real-world source spectra  
566 and properties of its own pinna filters. It has been shown that the auditory system may  
567 indeed use such prior information to update its localization estimates (Hofman et al., 1998;  
568 Parise et al., 2014; Zonooz et al., 2018, 2019; Ege et al., 2018; 2019), and that it can rapidly  
569 learn to reweight its spectral contributions to the elevation percept. Experiments have also  
570 demonstrated strong plasticity to long-term changes in the spectral pinna cues (Hofman et  
571 al., 1998; Van Wanrooij and Van Opstal, 2005; Carlile et al., 2014), and in response to a  
572 visual manipulation with minifying eye-glasses (Zwiers et al., 2003). The latter indicates that  
573 visual feedback may be important in calibrating the auditory system (Zwiers et al., 2001).

574 **Rapid adaptation.** Recently, we reported that the auditory system can demonstrate rapid  
575 short-term adaptation of localization in the midsagittal plane to repeatedly presented low-  
576 pass filtered noises at only six possible target locations (Zonooz et al., 2018). The results  
577 showed that listeners improved elevation response accuracy to sounds across the two-  
578 dimensional frontal hemifield, after a similarly short training session with visual feedback as  
579 in the present study. Interestingly, responses even improved without providing the visual  
580 feedback, albeit to a lesser extent. Moreover, response changes were confined to the  
581 elevation response components, and did not affect the azimuth responses. We explained  
582 these data by assuming an increased weighting of the low-frequency spectrum in HRTFs that  
583 would be associated with an increased gain (i.e., accuracy) of the localization responses,  
584 without affecting the robust binaural difference cues.

585 Here, we observed adjustments of localization performance to visual feedback  
586 training after monauralization. Comparison of the pre- and post-adaptation results of the  
587 multiple regression analyses indicated that the azimuth responses had an increased  
588 contribution of both the proximal sound level cue (i.e., the ambiguous HSE), and the true  
589 target azimuth (Fig. 10). The latter could be mediated by different contributions from the  
590 spectral head- and pinna cues at the hearing ear, and the weak, but strongly perturbed level

591 difference cues that may have survived the strong attenuation of the plug and muff. If  
592 spectral pinna cues would underlie the improved performance in azimuth, also the elevation  
593 responses might have benefited from the training. However, our elevation results (Figs. 13  
594 and 14) seem to suggest that an increased use of pinna cues from the hearing ear to  
595 azimuth localization is either unlikely, or somehow interferes with the estimation process  
596 for elevation (discussed below). It is not trivial as to why the major cue for elevation  
597 (spectral pinna cues) became in fact less effective after the training, even at the normal-  
598 hearing side. We hypothesize that the improvements in azimuth were due to an increased  
599 weighting of monaural head-shadow cues (proximal sound level and low-pass filtered  
600 spectral cues), and of a remapping of the weak, highly perturbed, binaural level-difference  
601 cues.

602         Although the HSE provides improper, ambiguous, localization cues, nearly all  
603 subjects increased its contribution during training (Fig. 10B). This strategy may have made  
604 perfect sense, as the training was provided for a single sound level only. Although listeners  
605 were not aware of this, they learned very quickly, through the visual feedback, that the  
606 perceived sound level actually provided them with a valid cue to localize the stimulus. In the  
607 same realm, the very weak binaural difference cues that survived the plug and muff for  
608 especially the higher sound levels, could have been remapped to reduce their strong  
609 leftward localization bias, and to increase the localization gain, as observed in the data of  
610 Fig. 8.

611         Why would the perceived elevation suffer from this brief training session? In  
612 principle, there should be no need to change the contribution of the spectral pinna cues: for  
613 azimuth, their weight is low anyway (Van Wanrooij and Van Opstal, 2004; 2007), whereas  
614 for elevation, these cues are absolutely crucial (Blauert, 1997; Hofman et al., 1998; Carlile,  
615 2014). Our data, however, show that the elevation response gains changed by reducing the  
616 spectral elevation cues (their partial correlation was reduced by about 23% from  $s=0.49$  to  
617  $s=0.38$ ), without changing the contribution of the azimuth cues (which stayed at about  $q=-$   
618  $0.14$ ) and proximal level cues (stable at about  $p=-0.10$ ). This unexpected change in elevation  
619 behavior (Figs. 11 and 12) indicates that the sound-localization system flexibly and rapidly  
620 re-weighted the different localization cues (binaural differences, spectral cues, HSE cues)

621 and updated its internal priors, consistent with the actual acoustic situation, even if these  
622 changes would hamper daily-life hearing situations.

623         Indeed, during the training, the auditory system was repeatedly exposed to stimuli  
624 that provided consistent head-shadow cues (target at fixed intensity and spectrum) and  
625 binaural level differences (albeit distorted), and at the same time, source elevation never  
626 changed (i.e., remained consistently at zero degrees). Therefore, the adopted strategy by  
627 the listeners could have been to use the (valid) head-shadow cue, to remap the weak, but  
628 consistent azimuth cue, and to drag the mean elevation estimate towards the horizon. The  
629 latter, however, resulted in further ignoring the actual spectral cues. By emphasizing a prior  
630 assumption toward the horizon (e.g. Parise et al., 2014; next section) induced a lower gain  
631 and correlation with the actual stimulus elevation (Fig. 11C). The system crudely remapped  
632 sources coming from the impaired side to more downward locations, and sources from the  
633 hearing side to more upward locations (Fig. 14C), despite the fact that these latter stimuli  
634 contained perfectly valid pinna-related elevation cues.

635 ***Azimuth vs. elevation in acutely plugged early blind and chronic single-sided deaf.*** A recent  
636 study by Voss et al. (2015) on the monaural localization performance of acutely-plugged  
637 early-blind listeners demonstrated a similar negative coupling between azimuth and  
638 elevation performance than reported here for rapid adaptation in acutely-plugged sighted  
639 individuals. They grouped early blind listeners in two categories, according to their  
640 monaural azimuth performance: it either remained poor after plugging, just like in the pre-  
641 adaptation case of our sighted participants (Figs. 4 and 5), or they immediately localized  
642 quite well with the plug, in which case they were shown to rely on spectral cues.  
643 Interestingly, this latter group (about 50% of their subjects) had poorer elevation  
644 performance than the former. Apparently, using spectral cues for azimuth localization (also  
645 under binaural hearing conditions in their daily lives) seemed incompatible with the use of  
646 spectral-shape cues for elevation.

647         In contrast, Van Wanrooij and Van Opstal (2004) described the azimuth and  
648 elevation results for *chronic* single-sided deaf (but normal-sighted) listeners, and showed  
649 that the more these listeners employed spectral cues for azimuth localization, the *better*  
650 they also localized in elevation. This suggested that spectral cues may in principle subserve

651 both coordinates, given sufficient time (and perhaps, visual feedback). It also suggests that  
652 azimuth and elevation could rely on different, independent, but probably subtle, aspects of  
653 the HRTFs. The latter was also suggested by Voss et al. (2015).

654 **Mechanisms.** Figure 15 extends a conceptual model (after Van Wanrooij and Van Opstal,  
655 2007) that summarizes how the different cues are weighted to generate the azimuth and  
656 elevation percepts for the three different hearing conditions. Under normal binaural hearing  
657 (Fig. 16A), source azimuth is fully determined by the ILDs and ITDs, as these are the most  
658 robust and reliable cues. Elevation is specified by the monaural HRTFs of the ipsi- and  
659 contra-lateral ear, whereby perceived azimuth acts as a binaural weighting factor  
660 (Morimoto, 2001; Hofman and Van Opstal, 2003). Under acute plugging (here: contralateral  
661 ear, c), the azimuth percept loses the ILDs, as they become highly distorted and  
662 uninformative, although the ITDs may still survive for the lower frequencies. For the higher  
663 frequencies, three ipsilateral cues have increased their contribution: the overall proximal  
664 sound-level ( $LEV_i$ ), a (potential) spectral component from the low-pass filter of the head  
665 ( $LPF_i$ ), as well as (information derived from) the ipsilateral HRTF (Van Wanrooij and Van  
666 Opstal, 2005, 2007). After training, we observed a considerable change in the weightings for  
667 high frequencies, and a concomitant decrease of the elevation gain. The latter is not  
668 explained by a further increase of the azimuth-related cues, as a windowed analysis on the  
669 azimuth gain and bias did not show such an effect (data not shown). Our results indicate  
670 that the azimuth percept became more reliant on the (weak) ILDs and on the spectral and  
671 level HSE, whereas the HRTF cues started to contribute less to elevation. The latter percept  
672 thus fell under a stronger influence from the trained prior that the target was always near  
673 the horizon.

674

#### FIGURE 16 ABOUT HERE

675 **Updating azimuth and elevation priors.** Could a Bayesian model, in which the weights for  
676 the prior and spectral sensory cues are gradually updated, account for our results? Here, the  
677 idea would be that the auditory system assumed different, independent priors for azimuth  
678 and elevation during the different epochs of the experiment, relying on the current  
679 incoming target information (either acoustic, or otherwise). We recently suggested (Ege et  
680 al., 2018) that the normal-hearing auditory system adopts a bivariate prior for azimuth and

681 elevation: a nearly uniform prior for azimuth (which therefore would be governed by  
 682 maximum-likelihood estimation), and a Gaussian prior for elevation, centered around some  
 683 default mean (Parise et al., 2014). The normal-hearing spatial prior could thus be described  
 684 by

$$685 \quad P(\alpha, \varepsilon) = P(\alpha) \cdot P(\varepsilon) \sim \exp\left(-\frac{\alpha^2}{2\sigma_\alpha^2}\right) \cdot \exp\left(-\frac{(\varepsilon - \varepsilon_0)^2}{2\sigma_\varepsilon^2}\right) \quad (5)$$

686 in which the width of the default elevation prior may be around  $\sigma_\varepsilon \approx 10\text{-}15$  deg (Ege et al.,  
 687 2018),  $\sigma_\alpha \gg \sigma_\varepsilon$ , and  $\varepsilon_0 > 0$  (upward). Under acute plugged hearing, however, the azimuth  
 688 percept strongly shifts to the hearing ear, prompting a new, and narrower azimuth prior:

$$689 \quad P(\alpha) \sim \exp\left(-\frac{(\alpha - \alpha_0(\Delta I))^2}{2\tilde{\sigma}_\alpha^2}\right) \quad (6)$$

690 where  $\alpha_0(\Delta I)$  is the mean of the new azimuth prior, corresponding to the perceived (plug-  
 691 induced) ILD, and its new width,  $\tilde{\sigma}_\alpha < \sigma_\alpha$ .

692 During the training, visual feedback provides explicit information about the ‘true’ target  
 693 distribution, leading the listener to gradually assume that

$$694 \quad P(\alpha, \varepsilon) = P(\alpha) \cdot P(\varepsilon) \sim \exp\left(-\frac{\alpha^2}{2\hat{\sigma}_\alpha^2}\right) \cdot \exp\left(-\frac{\varepsilon^2}{2\hat{\sigma}_\varepsilon^2}\right) \quad (7)$$

695 with  $\hat{\sigma}_\varepsilon \ll 10$  deg, and  $\hat{\sigma}_\alpha \gg \hat{\sigma}_\varepsilon$ . The consequence of the changes in these different priors is  
 696 that the azimuth and elevation gains both vary with the experimental conditions: the  
 697 broader the prior with respect to the sensory encodings, the more the percept relies on the  
 698 sensory input. Conversely, the narrower the prior, the more the percept (response) is  
 699 dominated by the prior (and less by the sensory stimulus). Following Ege et al. (2018), the  
 700 Bayesian mechanism (maximum-a-posteriori response decision) is quantified (separately for  
 701 azimuth and elevation) by

$$702 \quad \mu_{RESP} = \frac{\sigma_{PRIOR}^2 \cdot \mu_{STIM} + \sigma_{STIM}^2 \cdot \mu_{PRIOR}}{\sigma_{PRIOR}^2 + \sigma_{STIM}^2} \quad \text{and} \quad \sigma_{RESP}^2 = \frac{\sigma_{STIM}^2}{\left(1 + \frac{\sigma_{STIM}^2}{\sigma_{PRIOR}^2}\right)^2} \quad (8)$$

703 with  $\sigma_{STIM}$  the uncertainty in the sensory input (likelihood), and  $\sigma_{PRIOR}$  the width of the  
704 adopted prior.

705 Thus, under normal binaural hearing, the azimuth percept can depend entirely on  
706 acoustic input, as the binaural difference cues are highly reliable ( $\sigma_{STIM} \ll \sigma_{PRIOR}$ ), so that  
707 from Eqn. 8:  $\mu_{RESP} \cong \mu_{STIM}$ . The slightly more uncertain elevation percept, on the other  
708 hand, is mildly influenced by its prior, leading to a lower stimulus-response gain (i.e.  
709  $\mu_{RESP}/\mu_{STIM}$  around 0.8-0.9) than for azimuth (it's gain is close to 1.0), and a small, often  
710 upward bias of a few degrees (Parise et al., 2014).

711 In the acute plugged condition, before feedback training, the azimuth percept  
712 becomes dominated by a new, much narrower azimuth prior  $\tilde{\sigma}_{PRIOR} \ll \sigma_{STIM}$ , leading to a  
713 low azimuth gain, and a large bias towards the hearing ear:  $\mu_{RESP} \cong \alpha_0(\Delta I)$ . The elevation  
714 percept will strongly follow the influence of its prior on the impaired side (because of the  
715 low confidence for the elevation cues: low gain), but is dominated by the spectral cues on  
716 the hearing side (high gain; Fig. 12, red symbols).

717 During training, however, the new elevation prior (horizon, i.e.  $\mu_{PRIOR} = 0$ ,  $\hat{\sigma}_{PRIOR}$   
718 small) starts to dominate as more evidence accumulates across trials, leading to a lower  
719 response gain across the entire frontal hemifield, including at the hearing side. At the same  
720 time, the azimuth gain will increase, as it can again rely more on the (updated) sensory  
721 (spectral, and/or distorted binaural) inputs, than on the increased variance of its prior.

722 **Visual feedback.** One may wonder whether visual feedback would have been essential to  
723 induce the observed changes in localization behavior for the azimuth and elevation  
724 components. Although we haven't tested this aspect in the present experiments, we  
725 conjecture that, like in a recent report on sound-source elevation (Zonooz et al., 2018), the  
726 auditory system might be able to construct a better estimate for source azimuth, merely  
727 from the repeated exposure to variations in perceived sound level, weak interaural  
728 difference cues (providing a strong bias towards the free ear), and the systematic spectral  
729 attenuation of high frequencies by the head, in combination with feedback about its own  
730 orienting movements (Hofman et al., 1998; Zwiers et al., 2001, 2003; Carlile et al., 2014).  
731 Especially the spectral attenuation by the head could provide a relatively simple and  
732 invariant monaural broadband cue for source azimuth under natural hearing conditions as  
733 well, and as such serves as a valid reinforcement cue to reduce the large bias in perceived

734 azimuth due to the plug. Note, however, that also this spectral head-shadow cue is  
735 ambiguous without prior assumptions regarding actual source spectra. Yet, the auditory  
736 system might infer a reasonable spectral estimate of the source from the repeated exposure  
737 to the same sound during training. It remains to be tested, however, whether the auditory  
738 system can indeed extract and combine these endogenous sources of information, and  
739 whether this would also lead to a degradation of elevation performance.

740

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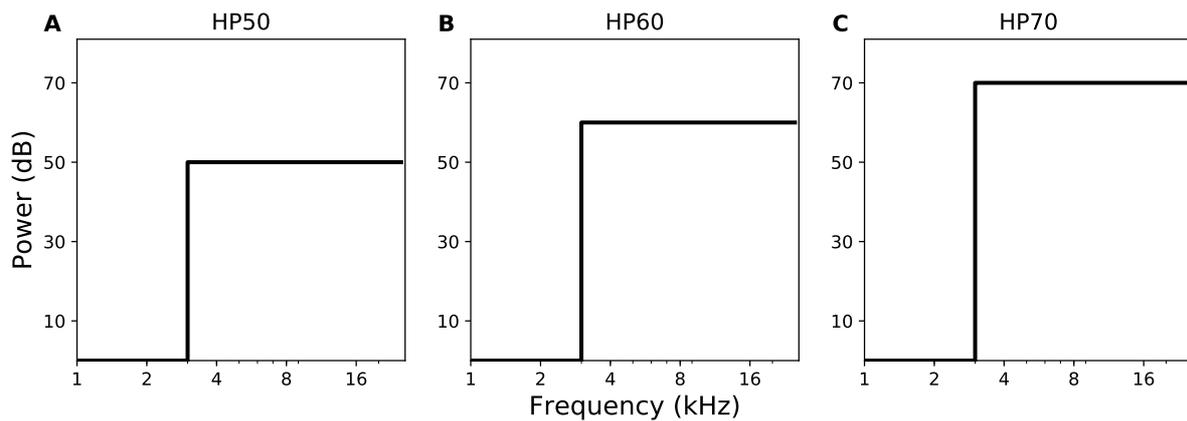
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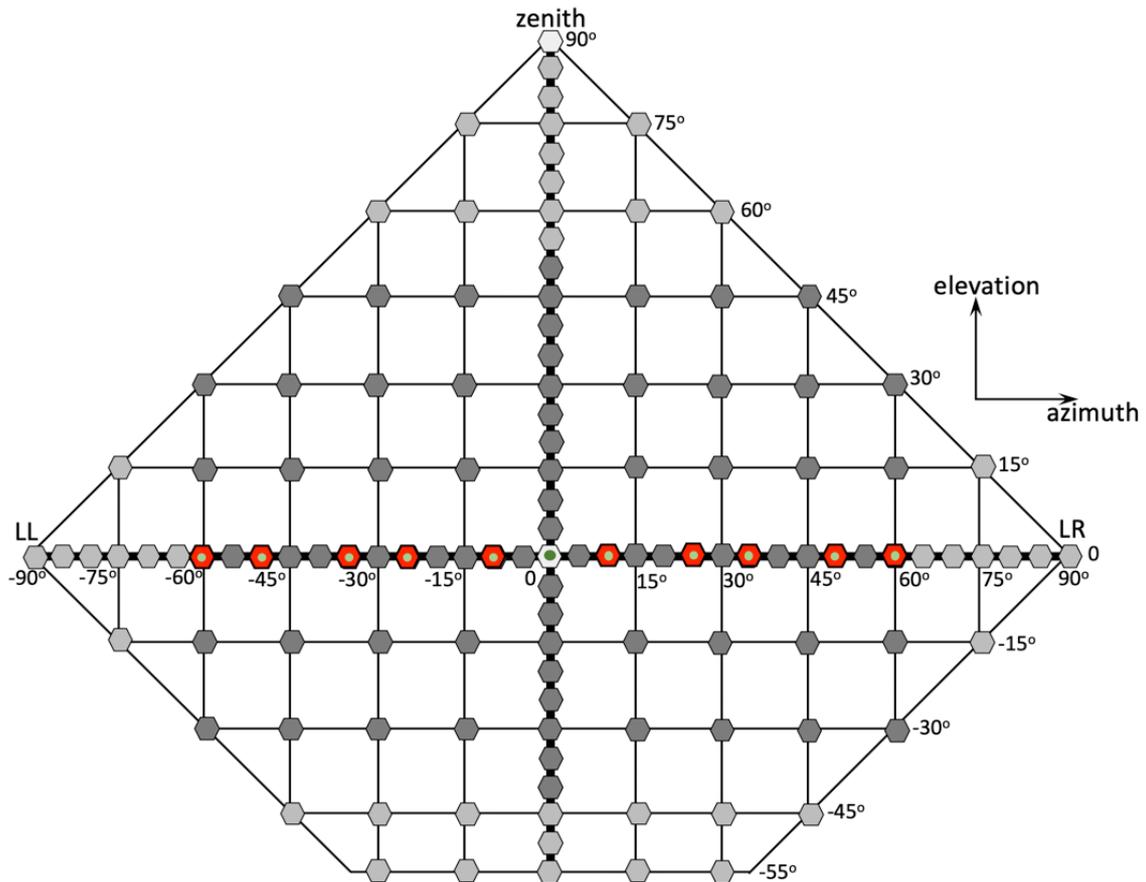
Component	Gain	Absolute bias	$r^2$	MAE
Azimuth	20/24 $p < 10^{-3}$	20/24 $p < 10^{-3}$	17/24 $p < 0.025$	22/24 $p < 10^{-4}$
Component	HP50	HP60	HP70	All
Azimuth	25/32 $p < 10^{-3}$	26/32 $p < 10^{-3}$	23/32 $p < 10^{-2}$	74/96 $p < 10^{-7}$

828 **Table 1:** One-sided sign tests on the regression parameters across stimulus types ( $n/24$  values) and  
829 for the three stimulus types across the regression parameters ( $n/32$  values; see Fig. 9).  $n/24$  signifies  
830 that  $n$  parameter values out of 24 fell above (gain,  $r^2$ ) or below (bias, MAE) the main diagonal,  
831 indicative for a localization improvement. Bottom-right: all measures ( $n/96$  values).



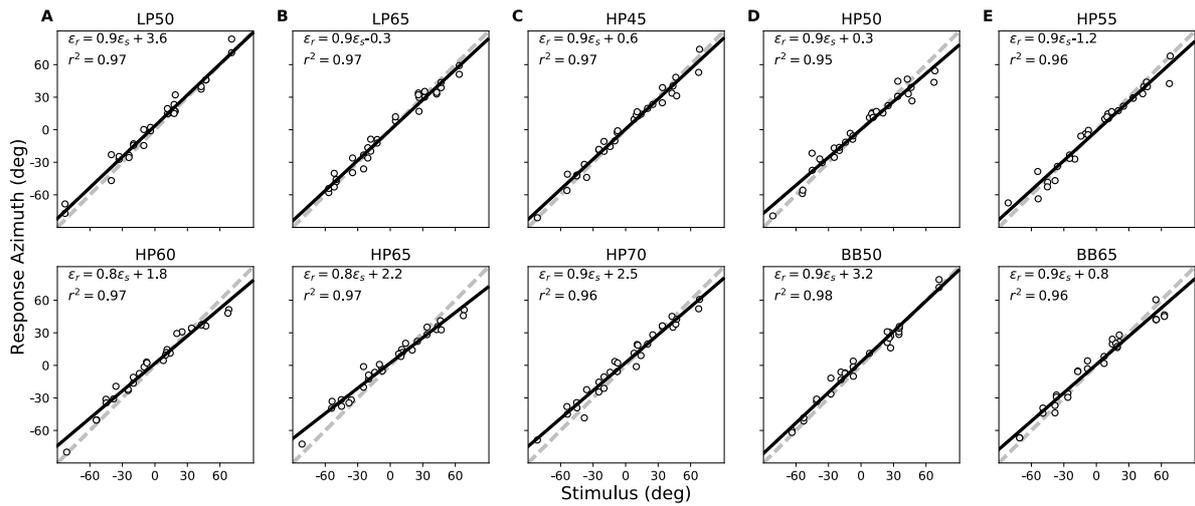
832

833 **Figure 1:** Schematized power spectra of the sound stimuli used in the pre/post-adaptation  
834 experiment. Stimuli were derived from a GWN control stimulus by (A) removing all  
835 frequencies below 3 kHz (HP), at (A) 50 dB SPL (A-weighted) (B) 60 dBA, or (C) 70 dBA.



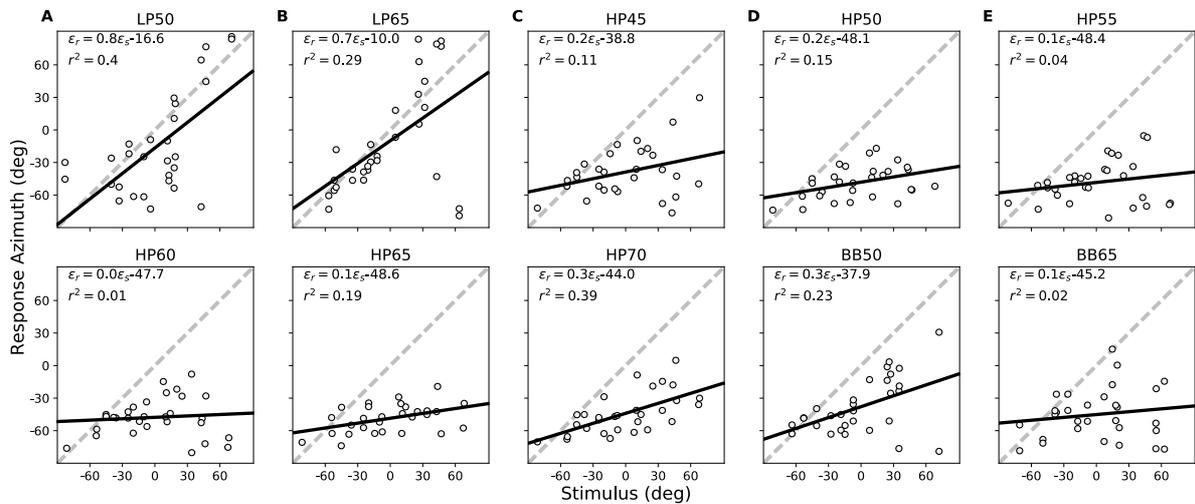
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837 **Figure 2:** Distribution of sound-source locations, as used in the different experimental paradigms,  
 838 projected in a flattened Cartesian azimuth-elevation coordinate grid. Note that speakers were  
 839 attached to a spherical frame, and that in the double-pole azimuth-elevation coordinate system the  
 840 sum of azimuth and elevation angles can never exceed 90 deg (outer diamond-shaped boundary).  
 841 The training targets were located on the azimuth plane, and are indicated in red. They were  
 842 presented with visual feedback (green dot) at the end of each trial. The pre- and post-adaptation test  
 843 targets (red and dark grey) were distributed across the frontal hemifield, and were pseudo-randomly  
 844 selected for azimuth in  $[-60, 60]$  deg, and for elevation in  $[-40, +50]$  deg, not including the training  
 845 targets. In the control experiment of day 1, selected speaker locations were confined to  $[-80, +80]$   
 846 deg for azimuth, and  $[-40, +50]$  deg for elevation. LL: lateral left, LR: lateral right. The central speaker  
 847 at  $(0, 0)$  deg, and the speaker at the zenith were not used.



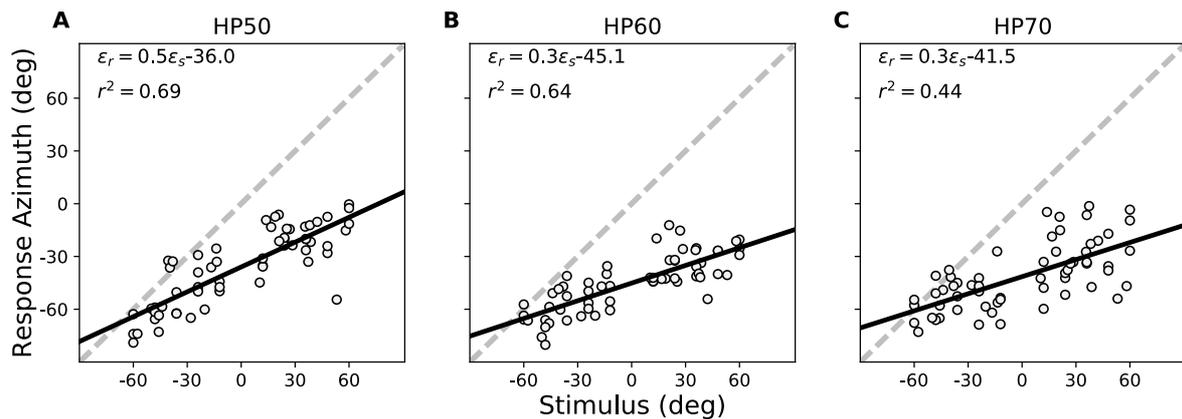
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849 **Figure 3:** Control results for normal hearing: data from listener S3 in azimuth for the ten control  
 850 stimuli (low-pass, high-pass and broad-band at different intensities). Linear regressions (Eqn. 1) were  
 851 performed on the azimuth components of the stimulus–response relations (each point corresponds to  
 852 a single trial). Responses were highly accurate, as gains and biases were very close to their optimal  
 853 values of 1.0 and 0.0, respectively.



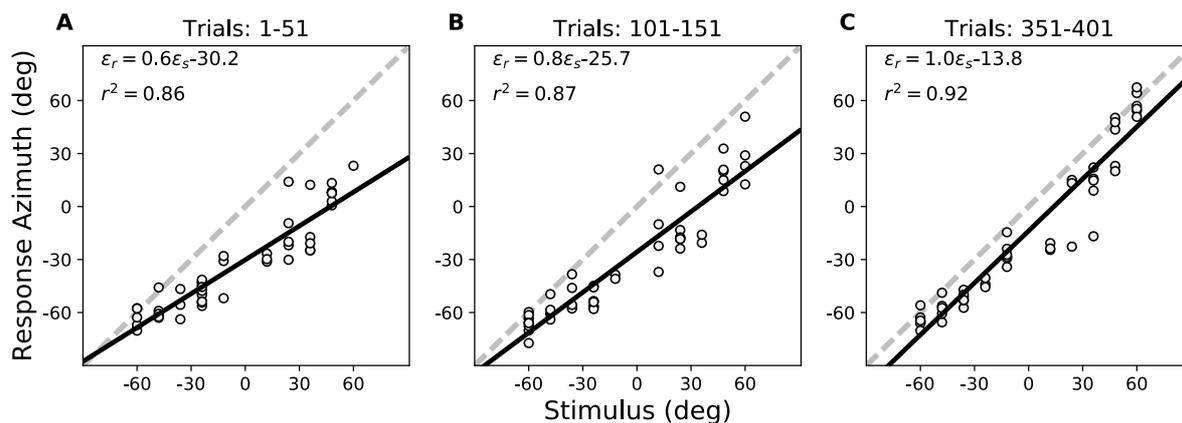
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855 **Figure 4:** Control responses, right-ear plugged (results for S3). Same format as Fig. 3. Responses were  
 856 highly inaccurate, as the gains and biases deviated substantially from their optimal values of 1.0 and  
 857 0.0, respectively. Note that low-frequency ITDs could still be used with the plug/muff, as the response  
 858 gain is still quite high; yet, variability in the responses is considerably higher than for normal hearing.



859

860 **Figure 5:** Pre-adaptation localization results for subject S3 in azimuth for the three test HP stimuli  
 861 with the right ear plugged. Responses were highly inaccurate, as the gains and biases deviated  
 862 substantially from their optimal values of 1.0 and 0.0, respectively. Yet, the slopes of the regression  
 863 lines differed significantly from zero, indicating that the stimuli contained some azimuth-dependent  
 864 localization cues.



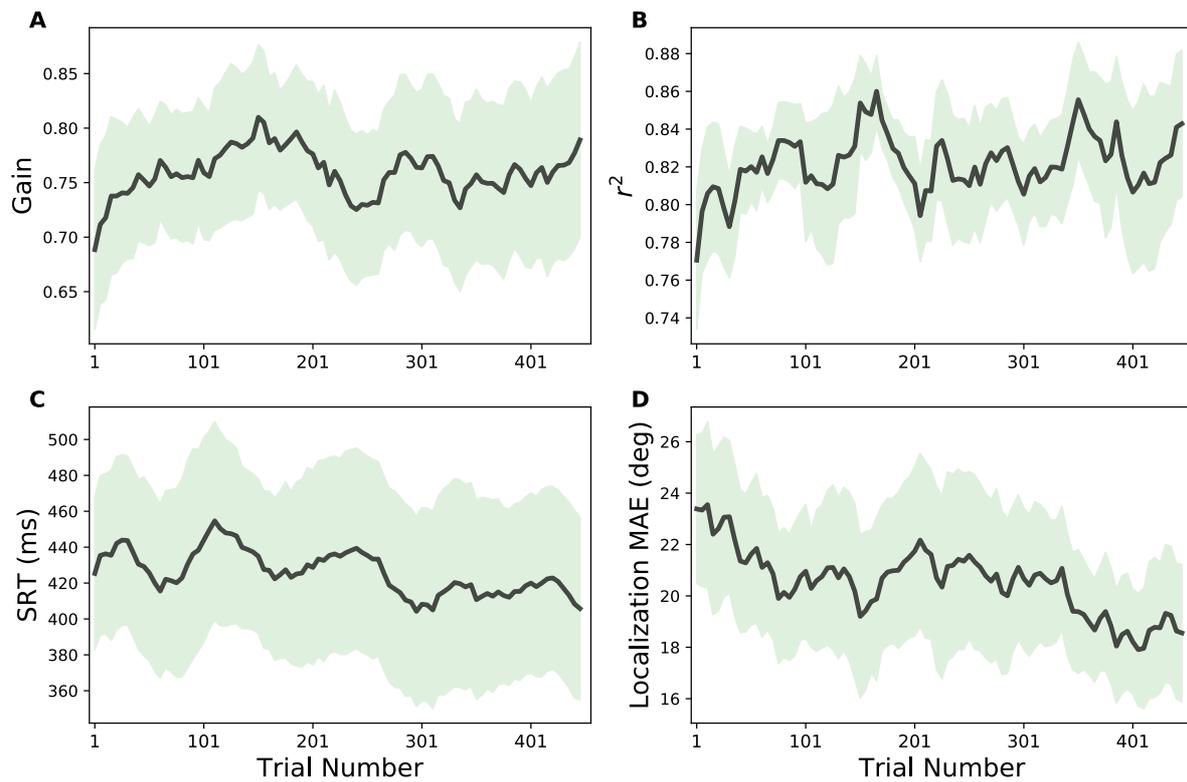
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866 **Figure 6:** Training phase localization data for the ten training targets (HP60 stimuli) presented in  
 867 randomized order with visual feedback in the azimuth plane (elevation zero; Fig. 2) at the start of the  
 868 session (trials 1-51), after 100 training trials (nrs. 101-151), and towards the end of the session (trials  
 869 351-401). Note the systematic increase of the response gain, and the reduction in response variability  
 870 (increased  $r^2$ ) and bias during the session. Data from S3.

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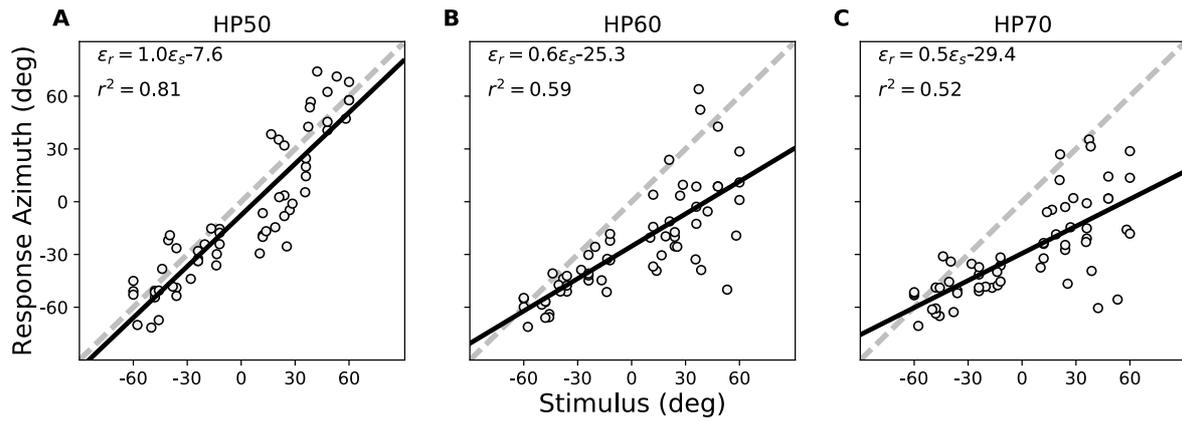
875 **Figure 7:** Running averages across participants (bold lines) and standard deviation (shaded areas) of  
 876 the response azimuth gain (A), response precision,  $r^2$  (B), head-saccade reaction time (in ms) (C), and  
 877 mean absolute localization error (in deg) (D) as function of trial number during the training session.  
 878 Averages of the parameters were calculated from a running-average window of 50 trials that shifted  
 879 in 5-trial steps through the data. Note that the response gains and  $r^2$  values gradually increased,  
 880 whereas the reaction times and localization errors to the ten stimuli decreased, which is indicative for  
 881 gradually improving, and more certain response behavior during the training.

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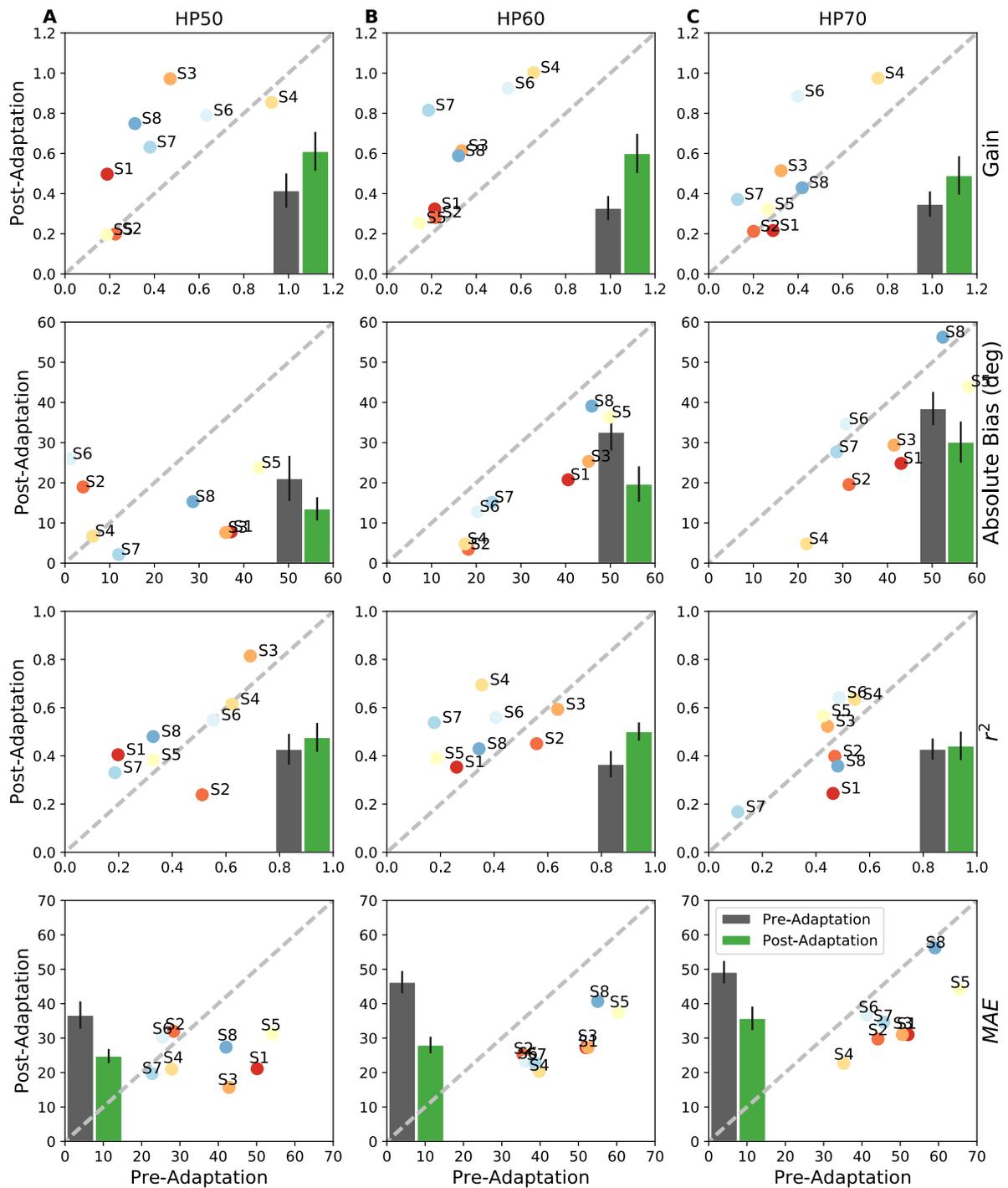
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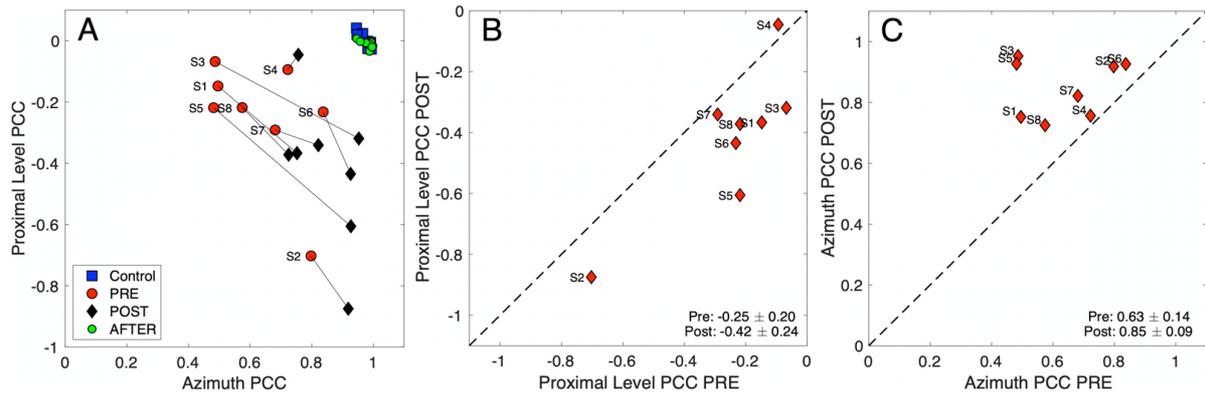
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887 **Figure 8:** Post-adaptation localization results for S3. Comparison of these data with Fig. 5 shows that  
 888 azimuth performance had improved for the non-trained azimuth-elevation locations and stimulus  
 889 levels as well. Note that the leftward bias increases more systematically with increasing sound level  
 890 than in the pre-adaptation tests (cf. Figs. 4 and 5).



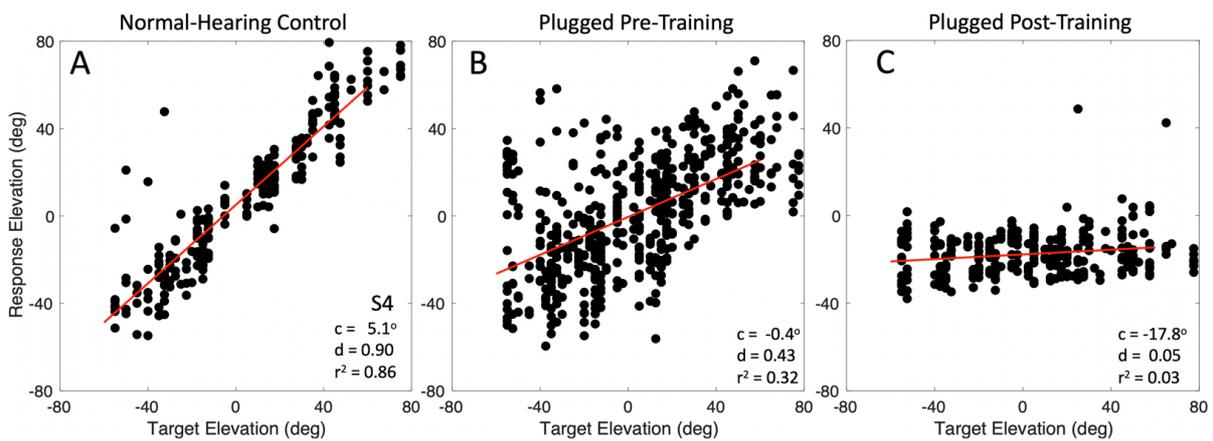
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892 **Figure 9:** Summarized statistics of the regression analyses for all subjects for the pre-adaptation and  
 893 post-adaptation tests to HP50, HP60, and HP70 sounds. Columns: the three test stimuli; top row:  
 894 response gain, 2<sup>nd</sup> row: response bias (in deg), 3<sup>rd</sup> row: coefficient of determination, and bottom row:  
 895 mean absolute error (in deg). Averages across listeners are shown as insets: grey = pre-adaptation,  
 896 mean with std error, green = post-adaptation data. For nearly all four parameters and stimuli, the  
 897 post-adaptation results are more accurate (higher gains, smaller bias, smaller MAE), and more  
 898 precise (less variability, higher  $r^2$ ).



899

900 **Figure 10 (A)** Multiple linear regression results of Eqn. 2 for binaural and acute monaural azimuth  
 901 localization performance of each listener to sounds presented in the pre-adaptation experiments (red  
 902 dots; data pooled with the plugged control data), and the post-adaptation experiment (black  
 903 diamonds).  $p$  and  $q$  are the partial correlation coefficients for proximal sound intensity and target  
 904 azimuth, respectively. For comparison, the normal-hearing pre-control data are also included (blue  
 905 squares), as well as the results immediately after removing the plug (green dots). In these latter  
 906 conditions, listeners did not rely on the HSE, as their responses were fully accounted for by the true  
 907 target azimuth. Also, there was no aftereffect, as the green dots fully coincide with the blue squares.  
 908 In the post-adaptation phase, the azimuth coefficient increased, while the sound-level coefficient  
 909 decreased. **(B)** The change in the proximal level coefficients indicates that they decreased for nearly  
 910 all listeners. **(C)** The azimuth coefficient increased for all eight listeners.

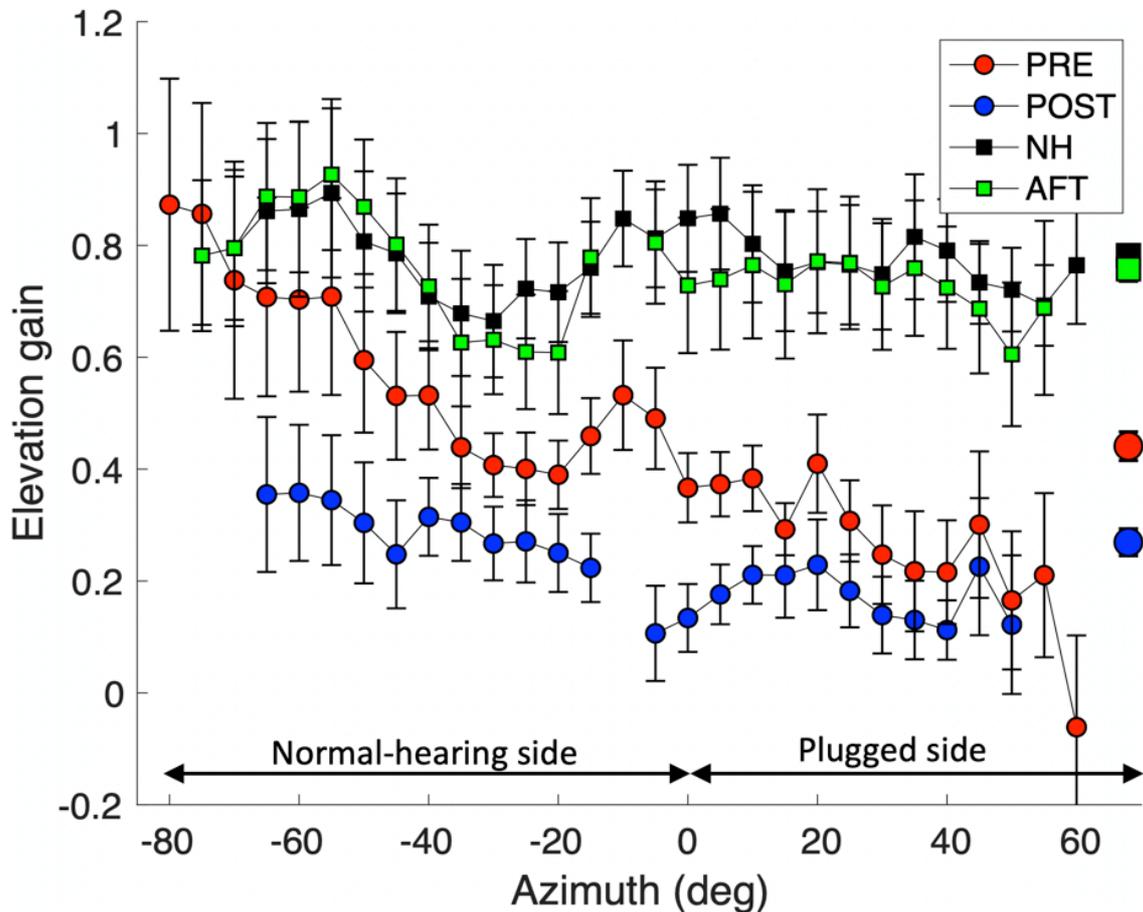


911

912 **Figure 11.** Stimulus-response relationships (Eqn. 1) for elevation of listener S4 under **(A)** normal  
 913 hearing, **(B)** after inserting the plug, prior to the training (pooled data from the control session and  
 914 the high-pass targets), and **(C)** immediately after the training, with plugged hearing. Note the  
 915 detrimental effect of the training on the listener's elevation performance with the right-ear plug.

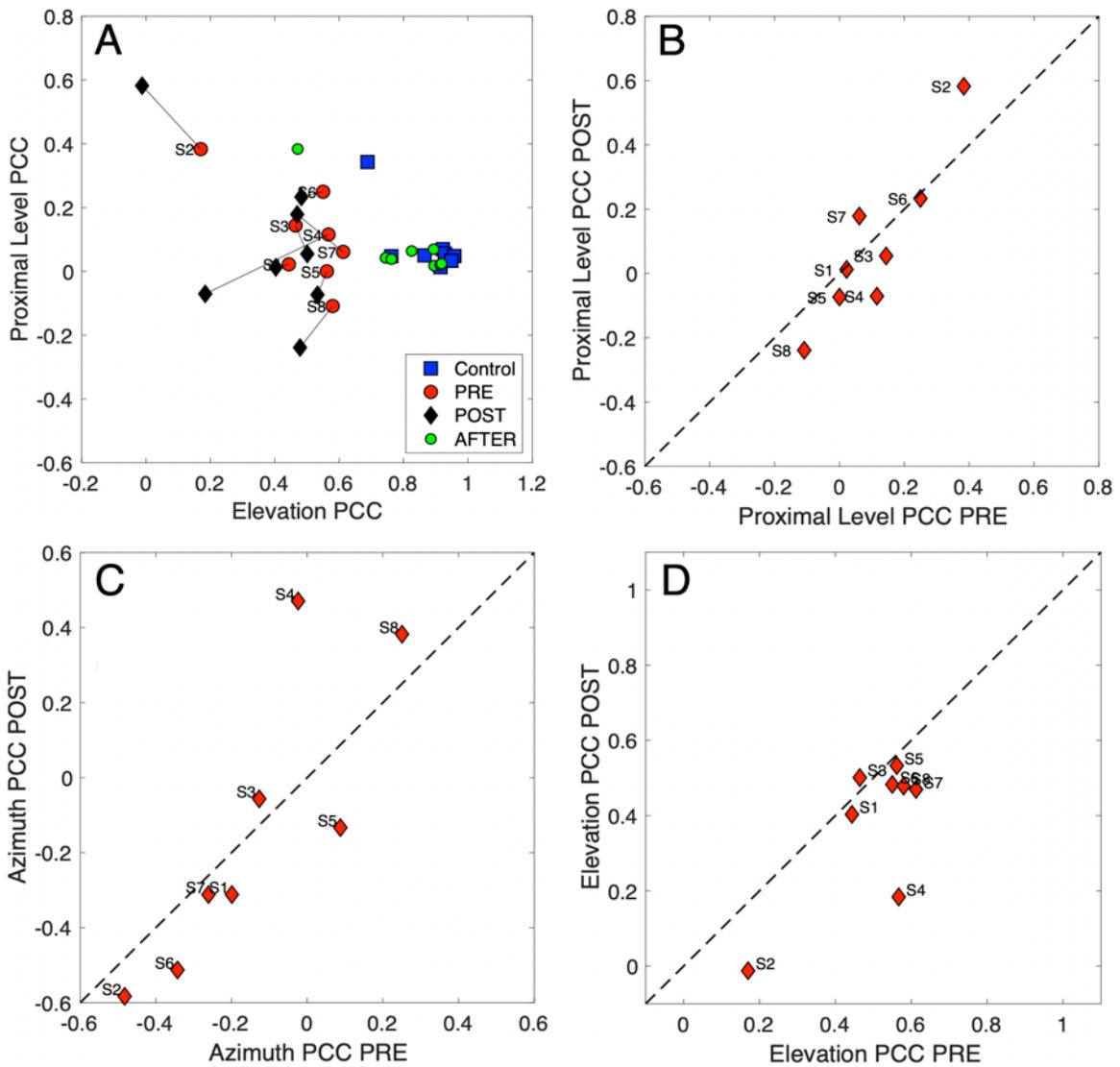
916 After plug removal, the stimulus-response relation was very similar to the data in (A) (not shown, but  
917 see Fig. 12A), indicating absence of an aftereffect.

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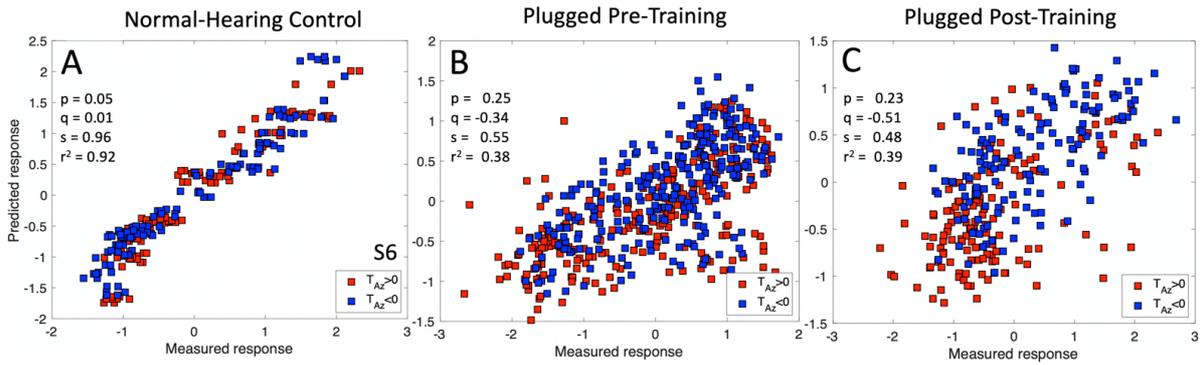
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920 **Figure 12.** Mean local elevation gains (Eqn. 1; averaged across all eight listeners, with standard  
921 errors) as function of target azimuth. The local gains were determined for data selected within 20  
922 deg-wide azimuth bins, which were shifted in 5-deg steps from -80 deg to +60 deg. Note that the pre-  
923 and post-training normal-hearing control gains (black and green squares) were indistinguishable,  
924 and remained high throughout the azimuth range. The acute pre-adaptation gains (plug in right ear)  
925 show a gradual decrease of the elevation gain from normal values on the far-left hearing side to  
926 nearly zero on the far-right plugged side (red dots). After training, the mean elevation gains became  
927 very low also on the hearing side (blue dots). Symbols on the right: overall means across azimuths  
928 and subjects.

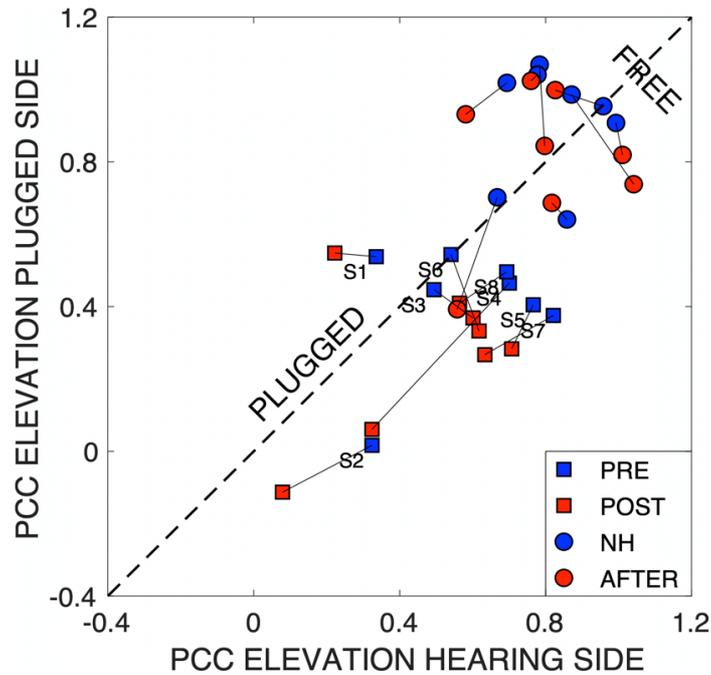


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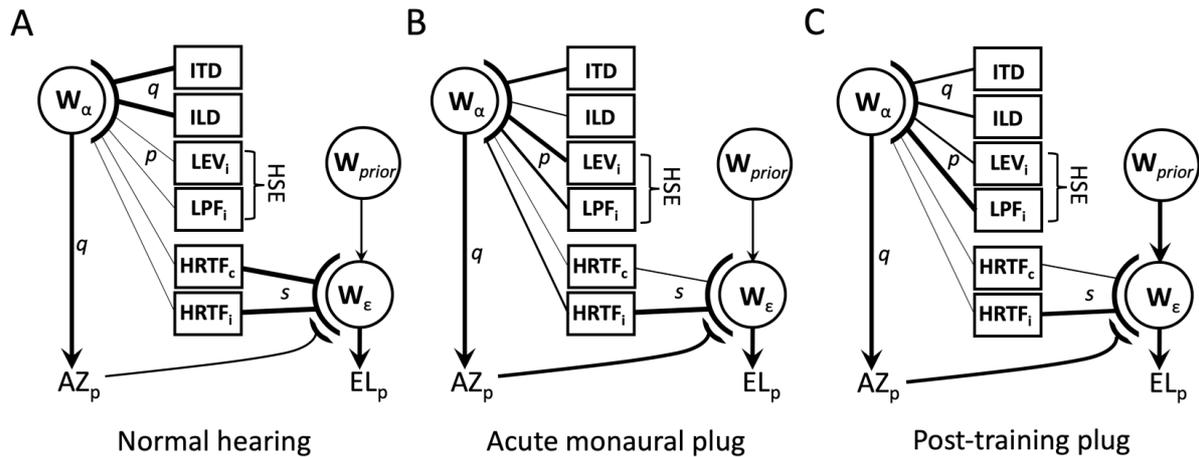
930 **Figure 13.** Results of multiple linear regression on the elevation response components for all listeners  
 931 (Eq. 4). (A and D) After adaptation, the contribution of the target's elevation to the elevation  
 932 response tended to decrease, whereas the contributions to the elevation percept of proximal sound  
 933 level slightly decreased (B), but for source azimuth (C) did not change systematically. Note that  
 934 localization performance after plug removal (green dots) was indistinguishable from the normal-  
 935 hearing pre-adaptation control data (blue squares). Listener S2 had poor elevation performance in  
 936 the normal-hearing and pre-plugged control, and shows up as an outlier in panels A, B and D.



937  
 938 **Figure 14.** Results of multiple linear regression on the elevation responses from listener S6 for (A)  
 939 normal-hearing, (B) right-ear plugged pre-training (all control data and test data pooled), (C) post-  
 940 training data plugged. Data have been sorted for the left (hearing ear; blue) and right (plugged ear;  
 941 red) side. Note the separation of upward (positive response) vs. downward (negative response)  
 942 perceived elevations after training for leftward vs. rightward targets, respectively.



943  
 944 **Figure 15.** Multiple linear regression results (Eqn. 4) on the elevation data for the left (hearing) vs.  
 945 right (plugged) hemifields for all listeners and hearing conditions. Squares correspond to the plugged  
 946 conditions (blue: pre-training; red: post-training), dots indicate the normal-hearing conditions before  
 947 (blue) and after (red) the training. The normal-hearing results remain unchanged, and close to the  
 948 ideal value of 1.0. In the pre-training plugged condition, target elevation on the hearing side had a  
 949 stronger contribution to the elevation responses than on the plugged side, as most data points lie  
 950 below the diagonal. After training, responses on both sides show a decrease of the spectral cue-  
 951 contributions to elevation (red squares).



952

953 **Figure 16.** Six acoustic cues can contribute to the perceived azimuth, whereas the left- and right  
 954 HRTFs determine the elevation percept, modulated by an azimuth-dependent binaural weighting and  
 955 internal priors. The strength (reliability) of a cue is indicated by line thickness.  $p, q, s$  are the partial  
 956 correlations, obtained from Eqns. 2 and 4. **(A)** Under normal-hearing, the azimuth percept is fully  
 957 determined by robust ITD and ILD cues, and the elevation percept mainly by the veridical HRTFs and  
 958 azimuth. **(B)** After acute monaural plugging (in the contra ear, c), azimuth is determined by low-  
 959 frequency ITDs, and the monaural intensity and filter cues from the HSE. The resulting azimuth  
 960 percept modulates the elevation percept, thereby decreasing the weight of the plugged ear. **(C)** After  
 961 training, the elevation percept is more strongly influenced by the prior (at the horizon), and less by  
 962 the sensory spectral and azimuth cues.

963